

JAYPEE UNIVERSITY OF INFORMATION TECHNOLOGY, WAKNAGHAT

TEST -3 EXAMINATION- 2022

B.Tech CSE/IT VIII Semester

COURSE CODE: 19B1WCI736 (3)

MAX. MARKS: 35

COURSE NAME: Information Auditing & Risk Management

COURSE INSTRUCTORS: Dr. Rajni Mohana

MAX. TIME: 2 Hours

Note: All questions are compulsory. Carrying of mobile phone during examinations will be treated as case of unfair means.

Q1) How is the Riskiness index calculated using FIRM Score Card? Draw the table [CO4] [5 marks]

b) What kind of information may be handled, stored, managed, distributed and communicated using a risk management information system? [CO3, CO4] [2 Marks]

Q2) What are the various methods for risk assessment techniques? Explain each of the techniques with its advantages and disadvantages systems [CO3, CO4] [7 Marks]

Q3) Define Risk? List the various types of risks with examples? [CO3, CO4] [7 Marks]

Q4) ZEO Payment Technology is one of promising Financial Technology Start Up Company in India. ZEO is founded in 2015 and has emerged as one of the largest player in India's Domestic Money Transfer (DMT) (Cash to Bank) segment. It is an award winning DMT, Payments and Travel Online Transaction site. ZEO has received many awards and accolades, such as the prestigious National Payments Excellence Award 2016 organized by India's National Payment Council with the highest number of transactions. RA has founded ZEO and is now aspiring to apply for the Small Payment Bank License. The application has to be made to a Statutory Authority. As per the Statutory Authority's guidelines the payment bank applicant have to submit the top 10 risk scenarios that they would face while operating a Small Payment Bank

The Board of ZEO will then review the risk scenarios and prepare a structured report with concrete risk management actions to implement the risk scenarios. Following Board discussions and the adoption of risk scenarios, RA will seek the Legislative Authority to turn ZEO into a Small Payment Bank.

a) Perform SWOT analysis of ZEO Payment Technology [CO1] [7 Marks]

b) Prepare a report the board of ZEO including [CO2,CO3]

[4+3Marks]

(i) Bucketing of above identified risks

(ii) Likelihood Scale